08-01789-cgm Doc 2014-2 Filed 03/04/10 Entered 03/04/10 17:33:09 Exhibit B. Customer Claim Pg 1 of 16

EXHIBIT B

08-01789-cgm Doc 2014-2 Filed 03/04/10 Entered 03/04/10 17:33:09 Exhibit B. Customer Claim Pg 2 of 16

AMENDED	CUST	OMER	CLAIM
		CINEL	CLAIR

Claim Number	
Date Received	

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

	DEC	EMBER 11,	2008		•
(Please print	or type)		2000		
Name of Cust	tomer: _Andrew Katz and De	eborah Katz,	JT Ten		
Mailing Add					
	t's Hill Weybridge	State:	Surrey	Zip: KT130	UA UK United Kingdon
Account No.:					
Taxpayer I.D	. Number (Social Security	No.):	3387		
SH PR RE RE SUI LES CEI		RUCTION S EACH ACCO NDER SIPA EE ON OR TE, BUT OF CLAIMANT. RECEIPT RE	SHEET. A SEDUNT AND, TO , ALL CUSTON , BEFORE MA NOR BEFORE AND TO BEING PLEASE SEND COUESTED.	EPARATE O O RECEIVE MER CLAIN arch 4, 200 E July 2, 20 E SATISFIEI O YOUR CLA	CLAIM FORM THE FULL MS MUST BE 19. CLAIMS 109, WILL BE DON TERMS
1. Clai	m for money balances as	of Decemb	er 11, 2008:		
a.	The Broker owes me a	Credit (Cr.)	Balance of	\$	0
b.	I owe the Broker a Debi			\$	0
C.	If you wish to repay the			Ψ	
0 .	*				
	please insert the amour				
	attach a check payable	to "Irving H.	Picard, Esq.,		
	Trustee for Bernard L. M	/ladoff Inves	tment Securit	ties LLC."	
	If you wish to make a pa	ayment, it m	ust be enclo	sed	
	with this claim form.	- ,		\$	
d.	If balance is zero, insert	"None."		¥ <u></u>	one

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

				YES _	NO
	a.	The Broker ow	es me securities	<u>X</u> .	
	b.	I owe the Broke	er securities		X
	c.	If yes to either,	please list below:		
				Number o Face Amou	of Shares or ont of Bonds
	Date of Transaction (trade date)	ı	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
	See Nov. 30,	2008 KW342 statemer	nts, attached hereto as Exhib	-	
	\$2,442,340.04	(market value of s	securities long, per KW342-3	statement)	
	84,150.00	(market value of s	ecurities long, per KW342-3	statement)	
	(118,830.00)	(market value of s	ecuirites short, per KW342-4	statement)	
TOTAL:	\$2,407,660.04			· · · · · · · · · · · · · · · · · · ·	
					

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
, 6 .	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		X
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone assis preparation of this claim form: <u>Christopher Van De Kiel</u> One William Street, New York, NY 10004	ting you in the	er Weiss IIP,

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 6/30/09 Signature Offul 6 Kgs

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and malled promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

08-01789-cgm Doc 2014-2 Filed 03/04/10 Entered 03/04/10 17:33:09 Exhibit B. Customer Claim Pg 6 of 16

EXHIBIT A

Tel 020 24 Madoff Securities International 12 Berkeler Mayfair, London W Filed 03/04/10 Entered 03/04/10 17:33:09 Exhibit B Customer Claim Pg 7 of 16 XOURTAX PAYER DENTIFICATION NUMBER ****** 53,458-00 74,083-58 234,291.44 54,711.84 52,955.09 117,723.88 145,070.74 75,697-09 37,910.30 100,340.14 88,517,35 105,862.45 42,447.40 54,699.29 56,559-58 70,144-14 39,249.08 55,641.00 66,187.40 34,485-40 AMOUNT DEBITED TO YOUR ACCOUNT 11/30/08 1-KH342-3-0 PRICE OR SYMBOL 100-780 080-39 17.300 59.160 72-880 55-830 87.270 14.510 55-370 59.580 38.530 44-660 28.550 21.810 16.940 43-600 33-770 54.610 21.590 MAL-MART STORES TINC INTERNATIONAL BUSINESS MACHS PHILLIP MORRIS INTERNATIONAL New York, NY 10022 Fax (212) 838-4061 885 Third Avenue (212) 230-2424 800 334-1343 PROCTER & CAMBLE CO ABBOTT LABORATORIES HEMLETT PACKARD CO J.P. MORGAN CHASE ORACLE CORPORATION MOSNHOR 3 NOSNHOR EXXON NOBIL CORP SCHLUMBERGER LTD BALANCE FORMARD BANK OF AMERICA COCA COLA CO MODONALDS CORP MICROSOFT CORP MERCK & CO ANGEN INC APPLE INC GUALCOMM INC PEPSICO INC PFIZER INC 3921 4423 8749 21726 26052 39030 52008 8247 34704 13075 52510 56334 17400 30378 56836 60660 61162 98649 65488 69312 73638 TRN HEYBRIDGE SOLD DELIVERED OR SHORT BERNARD L. MADOFF INVESTMENT SECURITIES LLC DEBORAH KATZ JI TEN 1 SPRINGHOOD PLACE New York | London SURREY KT13 OUA COBBETT'S HILL ANDREW KATZ 1,224 114 696 1,836 3,315 1,683 561 696 2,295 1,326 2,448 4,845 663 3,060 BOUGHT RECEIVED OF LONG MADE 11/12 11/12 11/12 11/12 1/12 11/12 11/12 11/12 11/12 1/12 11/12 11/12 11/12 11/12 11/12 DATE

Entered 03/04/10-17:33:09 Pg & f 16 AMOUNT CHE TO YOUR ACE -01789-cgm Madoff Securities International Limite 12 Berkeley Stree Filed 03/04/10 Affiliated wit Mayfair, London W1J 8D Tel 020 7493 699 **Customer Claim** YOUR TAX PAYER IDENTIFICATION NUMBER ******** 97,911-00 32,557.92 127,402.51 31,668.63 30,385,36 31,872.48 62,433.79 93,674.25 52,799.94 51,628-20 63,916-60 AMOUNT DEBITED TO YOUR ACCOUNT 11/30/08 1-KH342-3-0 PRICE OR SYMBOL 16-730 29-530 52-510 29.800 16.510 52.040 73.430 19.630 30.410 53-160 337-400 U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY HONEY MARKET U.S. TREASURY MONEY MARKET FIDELITY SPARTAN S TREASURY MONEY MARKET UNITED TECHNOLOGIES CORP New York, NY 10022 VERIZON COMMUNICATIONS Fax (212) 838-406] 885 Third Avenue UNITED PARCEL SVC INC (212) 230-2424800 334-1343 WELLS FARGO & CO NEW U S TREASURY BILL 2/12/2009 GENERAL ELECTRIC CO CISCO SYSTEMS INC U S BANCORP DIV 11/12/08 FIDELITY SPARTAN FIDELITY SPARTAN DUE 2/12/2009 CONOCOPHILIPS CURP CHEVRON CORP ATET INC CLASS B CONCAST SOBELE 78466 82290 17964 95268 99594 86616 74140 82792 87118 90942 91444 95770 17305 26674 WEYBRIDGE 63,888 DELIVERED OF SHORT BERNARD L. MADOFF INVESTMENT SECURITIES LLC SOLD DEBURAH KATZ JI TEN 1 SPRINGHOOD PLACE New York 🗆 London SURREY KT13 OUA COBBETT'S HILL ANDREW KATZ 1,275 1,836 3,621 918 3,723 612 612 1,734 22569 BOUGHT RECEIVED OR LONG

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PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Exhibit B

CONTINUED ON PAGE

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Аппатеа мл Madoff Securities International Limited

Tel 020 7493 6222 Tel 020 7493 6222 12 Berkeley Street Mayfair, London W1J 8DT

(212) 230-2424 800 334-1343 Fax (212) 838-4061 New York, NY 10022 885 Third Avenue

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

New York

London

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	DATE	773	1/19			<u></u>			

Attitiated wil Madoff Securities International Limite

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

New York

London

(212) 230-2424 800 334-1343 Fax (212) 838-4061 885 Third Avenue New York, NY 10022

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WEYBRIDGE UK

COBBETT'S HILL SURREY KT13 OUA

ANDREW KATZ
JEBORAH KATZ JETEN
1 SPRINGWOOD PLACE

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Annuatea wa Madoff Securities International Limit 885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

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Doc 2014 2 Filed 03/04/10 Entered 03/04/10 17:33:09 98-01789-cgm 12 Berkeley Sn Mayfair, London W1J 8 Affiliated v Madoff Securities International Line IFICATION NUMBER ******* 11/30/08 AMOUNT DEBITED TO YOUR ACCOUNT 1-KW342-3-0 PRICE OR SYMBOL GROSS PROCEEDS FROM SALES SUMMARY New York, NY 10022 Fax (212) 838-4061 885 Third Avenue (212) 230-2424 800 334-1343 YEAR-TO-DATE DIVIDENDS 書等は、本を公という PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES DELIVERED OR SHORT BERNARD L. MADOFF INVESTMENT SECURITIES LLC ANDREW KATZ
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London SURREY KT13 OUA COBBETT'S HILL DEBORAH KATZ ANDREW KATZ 12 K 5 57 BOUGHT RECEIVED OR LONG 11/19 11/19 11/19 11/19 11/12 11/12

STATEMENT FOR INCOME TAX PURPOSES

08-01789-cgm Doc 2014-2 Filed 03/04/10 Entered 03/04/10 17:33:09 Exhibit B. Customer Claim Pg 14 of 16

EXHIBIT B

Andrew Katz and Deborah Katz, JT TEN Account No. KW342

EXHIBIT B

- 1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
- 2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
- 3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
- 4. The Claimant hereby requests that the Claim Form additionally be considered as a proof of claim, as a general creditor or otherwise, in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
- 5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
- 6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
- 7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

Andrew Katz and Deborah Katz, JT TEN Account No. KW342

- 8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
- 9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
- 10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
- 11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
- 12. To the extent the BMIS account for which this Claim Form is submitted contains or purports to contain funds from a "predecessor" or other BMIS account, the Claimant reserves all rights, claims, and defenses as to any such predecessor or other BMIS account. To the extent necessary or appropriate, this Claim Form is likewise submitted as a claim for and on behalf of any such predecessor or other BMIS account.
- 13. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary.